

LEGAL COMPLIANCE IN TRANSPORTATION

WATERWAYS FOR SMART TRANSPORT



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The Falkirk Wheel, Scotland - © Guy Edwardes

Transport Regulation

A result of imperfect markets

Reasons

Monopolistic Infrastructure

- Air transport: usage of air space, safety
- Railways: safety and access competition, competitive tendering
- Inland waterways

Monopolistic Bottlenecks

- Airport: ground handling, maintenance and airport slots
- Rail related services
- Ports

Aspects

- Safety
- Interoperability
- Interconnection standards
- Competition
- Environmental matters
- Public service obligations
- Consumer protection

Not always sector-specific

Levels

Infrastructure

Roads, railways, airports and ports

Infostructure

IT and information systems to utilize the available infrastructure: eg signalling systems for railways, air traffic control, urban traffic management

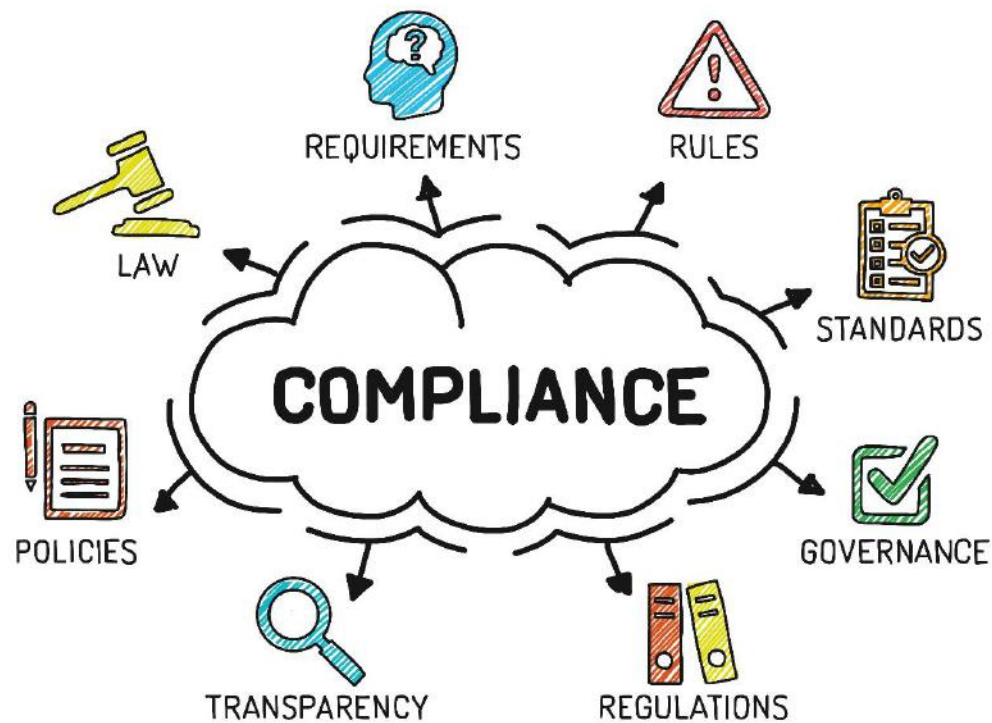
Service

Coach service, road haulage services, rail services, flights, shipping.

Regulation Objectives

Regulation types vs Objectives

Regulation Type ↔ Objective	Ensure fair competition	Provide high quality service	Provide quality jobs	Minimize environmental damages
Administrative harmonization	✓ ✓ ✓	✓ ✓ ✓	✓ ✓	✗
Liberalization of access	✓ ✓ ✓	✓	✓	✗
Social harmonization	✓ ✓ ✓	✓	✓ ✓ ✓	✗
Fiscal harmonization	✓ ✓ ✓	✓	✗	✓ ✓
Technical harmonization	✓ ✓ ✓	✓ ✓ ✓	✓	✓ ✓
Improvement of safety	✓	✓ ✓	✓	✓ ✓
Reduction of environmental degradation	✓	✓	✗	✓ ✓ ✓



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Legal & Regulatory Compliance

Essential to corporate governance



Enforce law, regulations and business rules

- Part of good corporate governance
- Integral part of the business



Increasing requirements

- Policy to act in conformity with the law
- Policy needs to be complete and adequate with respect to the law



Recurring process

- Large volume of laws and regulations to comply with
- Variable: changes in the law of business or new regulations modify the rules for the organization
- Labour intensive: monitoring legislation requires a lot of administration



Goal

- Adequately assess risks
- Efficient monitoring of legal compliance

Benefits of Legal Compliance

Competitive advantage through automation



Strategic

- ✓ Risk management
- ✓ Greater efficiency and productivity
- ✓ Thrust and brand loyalty
- ✓ Quality improvements
- ✓ Competitive differentiation



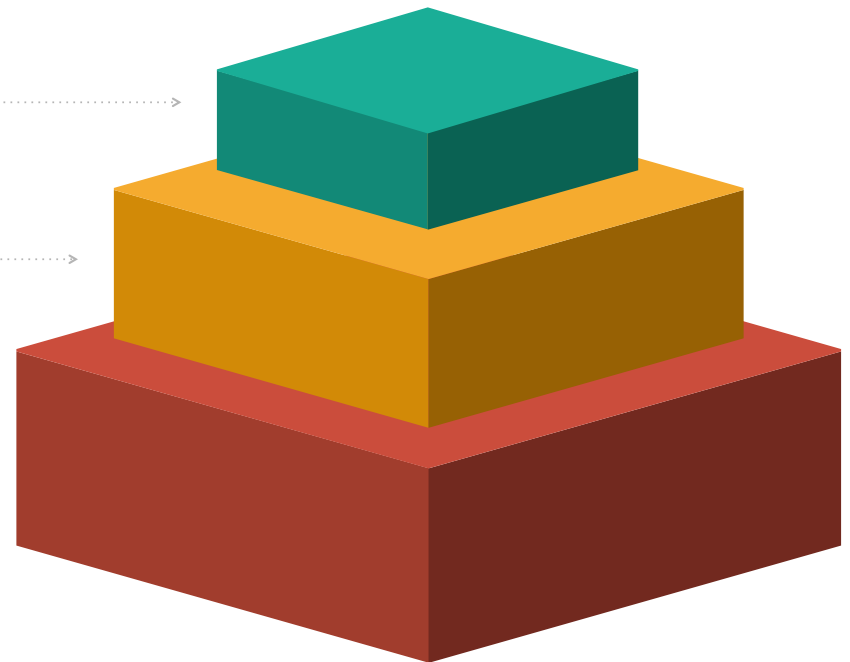
Tactical

- ✓ Reduce complexity of monitoring and management of (new) regulation
- ✓ Manage the costs of a compliance program
- ✓ Reduce the risk of liability
- ✓ Full integration with existing legal compliance process



Operational

- ✓ Regulatory intelligence
- ✓ Ensure that changes are being detected
- ✓ Low entry access and enhanced user experience
- ✓ Electronic access to relevant regulation
- ✓ Transparency and executive reporting



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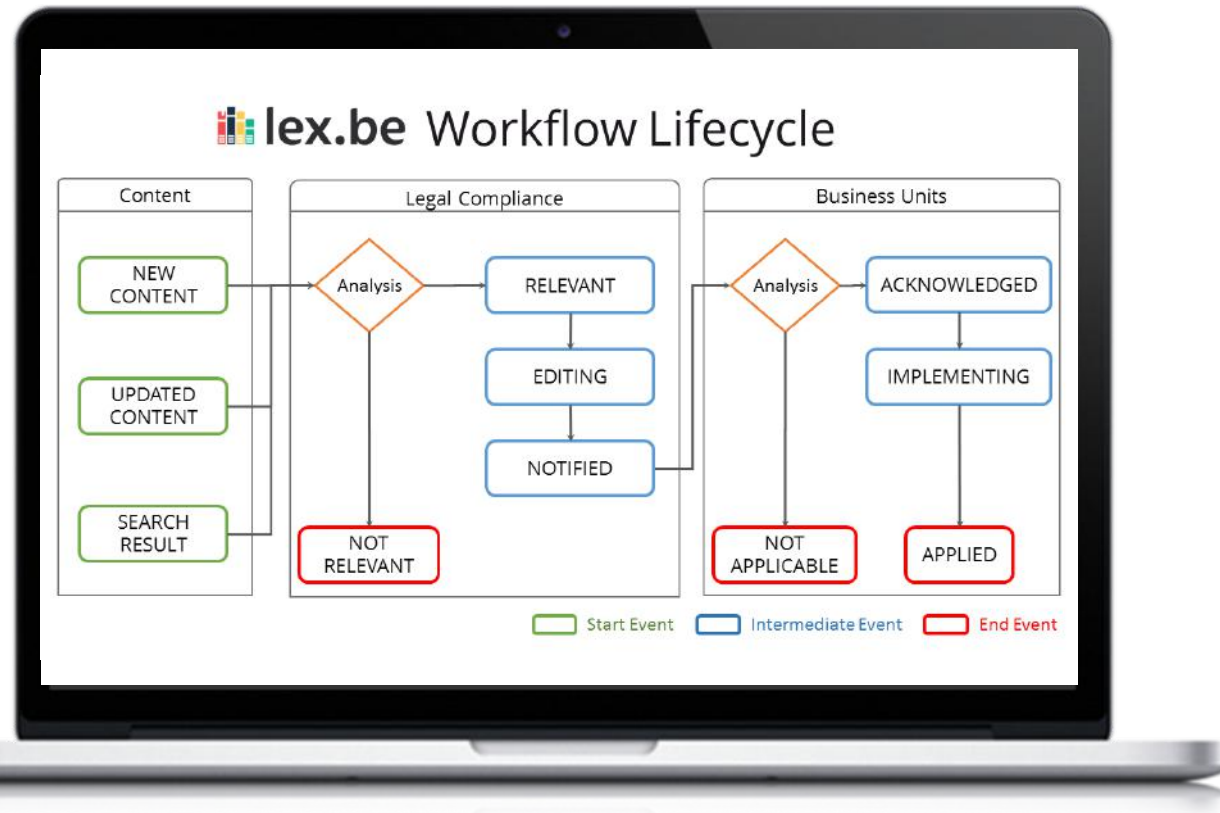
Technical Implementation

How to implement a Legal Compliance Program



Lex.be approach

Legal compliance workflow automation



Integrated Content

Easy access to legal data sources



Automatic Discovery

From notification to implementation



Easy workflow

Lifecycle ensures ongoing compliance & monitoring

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Questions ?

Thank You!



- ✓ Index of 2M legal documents
- ✓ Fast full-text search
- ✓ Advanced filters & Alerts
- ✓ Productivity & Collaboration tools
- ✓ Custom Integration

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